



# MPILE UNIT TRUST FUND

Application form – Retail

**INSTRUCTIONS:**

- All sections must be completed in full using BLOCK LETTERS
- The daily cut-off time for receipt of instructions is 10h00 for money market instructions and 14h00 for non-money market instructions
- Indicate all options selected by means of cross (X)
- Initial any amendments made to the application form
- Ensure that all information provided is accurate
- Completed forms are to be faxed to us on +260211253112 or emailed to [mpile@aflife.co.zm](mailto:mpile@aflife.co.zm)
- Original forms to be delivered to African Life Financial Services Ltd, 1<sup>st</sup> Floor Mpile Office Park, 74, Independence Avenue, Lusaka.
- Should you have any queries regarding this application, please contact African Life Financial Services on +260211254517/+260977740265
- No instruction will be processed unless all requirements are met

**1. INVESTOR DETAILS**

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Title \_\_\_\_\_

Names (As on ID) \_\_\_\_\_

Date of Birth \_\_\_\_\_

Gender (Male or Female) \_\_\_\_\_

Nationality \_\_\_\_\_

NRC/Passport Number (if foreign national) \_\_\_\_\_

NRC/Passport Number expiry Date \_\_\_\_\_

Postal Address \_\_\_\_\_

\_\_\_\_\_

\_\_\_\_\_

Physical Address (Residential) \_\_\_\_\_

\_\_\_\_\_

\_\_\_\_\_

Employment / Business Details \_\_\_\_\_

Source of funds \_\_\_\_\_

Postal Address \_\_\_\_\_

Code \_\_\_\_\_

Resident

Non Resident

TPIN (if applicable) \_\_\_\_\_

Contact Work \_\_\_\_\_

Cell \_\_\_\_\_

Fax \_\_\_\_\_

E-mail \_\_\_\_\_

Next of Kin Name \_\_\_\_\_

Cell No. \_\_\_\_\_

**2. ADDITIONAL INVESTOR DETAILS (FOR JOINT APPLICANTS)** \_\_\_\_\_

Title \_\_\_\_\_

Names (As on ID) \_\_\_\_\_

Date of Birth \_\_\_\_\_

Gender (Male or Female) \_\_\_\_\_

Nationality \_\_\_\_\_

NRC/Passport Number (if foreign national) \_\_\_\_\_

NRC/Passport Number expiry Date \_\_\_\_\_

Postal Address \_\_\_\_\_

\_\_\_\_\_

\_\_\_\_\_

Physical Address (Residential) \_\_\_\_\_

\_\_\_\_\_

\_\_\_\_\_

Employment / Business Details \_\_\_\_\_

Postal Address \_\_\_\_\_

Code \_\_\_\_\_

Resident

Non Resident

TPIN (if applicable) \_\_\_\_\_

Contact Work \_\_\_\_\_

Cell \_\_\_\_\_

E-mail \_\_\_\_\_  
Fax \_\_\_\_\_

**3. ADDITIONAL INVESTOR DETAILS (FOR JOINT APPLICANTS)**

Title \_\_\_\_\_

Names (As on ID) \_\_\_\_\_

Date of Birth \_\_\_\_\_

Gender (Male or Female) \_\_\_\_\_

Nationality \_\_\_\_\_

NRC/Passport Number (if foreign national) \_\_\_\_\_

NRC/Passport Number expiry Date \_\_\_\_\_

Postal Address \_\_\_\_\_  
\_\_\_\_\_  
\_\_\_\_\_

Physical Address (Residential) \_\_\_\_\_  
\_\_\_\_\_  
\_\_\_\_\_

Employment / Business Details \_\_\_\_\_

Postal Address \_\_\_\_\_

Code \_\_\_\_\_

Resident  Non Resident

TPIN (if applicable) \_\_\_\_\_

Contact Work \_\_\_\_\_

Cell \_\_\_\_\_

Fax \_\_\_\_\_

**3. DETAILS OF GUARDIAN (if Investor is a minor)**

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Names \_\_\_\_\_

Date of Birth \_\_\_\_\_

Nationality \_\_\_\_\_

Physical Address/Residential \_\_\_\_\_  
\_\_\_\_\_

Postal Address \_\_\_\_\_  
\_\_\_\_\_

Code \_\_\_\_\_

Contact Work \_\_\_\_\_

Cell \_\_\_\_\_

Fax \_\_\_\_\_

E-mail \_\_\_\_\_

**4. BANKING DETAILS**

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Bank Account 

LOCAL BANK ACCOUNT
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Payment Currency \_\_\_\_\_

Swift Code \_\_\_\_\_

Account number \_\_\_\_\_

Bank \_\_\_\_\_

Branch Name \_\_\_\_\_

Branch Code \_\_\_\_\_

Account Holder Name \_\_\_\_\_

Account Type 

SAVINGS	<input type="checkbox"/>
CURRENT	<input type="checkbox"/>

**5. COMMUNICATION**

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Method of Communication

E-MAIL	<input type="checkbox"/>
POST	<input type="checkbox"/>

**6. INVESTMENT DETAILS**

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I/We hereby apply to the Mpile Unit Trust Fund in Accordance with the provisions of the relevant Trust Deed of the fund at the respective fund price(s) ruling on the date of receipt of the monies by Mpile Unit Trust Fund, subject to receipt of a duly completed Application form, proof of deposit and provision all the relevant supporting documentation.

<b>Mpile Unit Trust Fund</b>	<b>Lump Sum Investment (Enter Amount*)</b>	<b>Debit Order (Enter Amount*)</b>
Mpile Money Market		
Mpile Local Equity Fund		
Mpile Offshore Equity Fund		
Mpile High Yield Debt Fund		
Mpile Balanced Fund		
Mpile Property Fund		

\*Other Mpile funds Lump Sum K1, 000

\*Mpile Offshore funds Lump Sum \$150

**7. FINANCIAL ADVISOR/INTERMEDIARY DETAILS**

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I/We confirm that the financial advisor whose details are shown below is my/our appointed financial advisor/intermediary and I/We agree to payment of fees as stated below.

Surname \_\_\_\_\_

Name(s) \_\_\_\_\_

Entity Name \_\_\_\_\_

Registration Number \_\_\_\_\_

Broker Code \_\_\_\_\_

Financial Advisor  Broker

Initial Fees \_\_\_\_\_

Fund Name \_\_\_\_\_

Fund Name	Initial Financial Advisor/Intermediary Fee
Mpile Balanced Fund	
Mpile Money Market Fund	
Mpile Local Equity Fund	
Mpile High Yield Debt Fund	
Mpile Offshore Equity Fund	
Mpile Property Fund	

*\*The maximum initial financial advisor/intermediary fee applies where lower fees are not negotiated and completed here*

Financial Advisor/intermediary Confirmation signature

Tick Box if not Applicable

## 8. FUND INFORMATION

Fees	Initial Fees (excl Vat)	Annual Management Fees (excl Vat)
Mpile Local Equity Fund	N/A	1.50%
Mpile Money Market Fund	N/A	1.50%
Mpile Balanced Fund	N/A	1.50%
Mpile Offshore Equity Fund	N/A	1.50%
Mpile High Yield Debt Fund	N/A	1.50%
Mpile Property Fund	N/A	1.50%

## 9. DECLARATIONS BY INVESTOR

I/ (we) hereby apply for particular interest (units) in the selected portfolios and understand that this investment will be subjected to the governing schemes administered by Mpile.

In the event that the financial advisor/intermediary details selection has not been completed, I/We understand and confirm that any decision taken with regards to the investment is based on my own judgment. I/We declare that I/we am/are not relying on any communication from Mpile Unit Trust Fund whether written, oral or implied as investment advice or as a recommendation to enter into the investments. I/We understand that information and explanations relating to the terms of the investment shall not be considered investment advice or a recommendation to enter into the investment.

## 10. TERMS AND CONDITIONS OF INVESTING IN THE MPILE UNIT TRUST FUND

- I/ (we) have read and understood the contents provided in the Mpile Unit Trust Brochure and Application form.
- I/ (we) also acknowledge that I/We have collected all material or constitutive documentation that will enable Me/Us to make an informed decision before investing in the Mpile Unit Trust Fund.

- I/ (we) warrant that I/We have full authority to enter into this transaction. I/ (we) understand that past performance is not necessary a guide to the future. The price of units and the income from them may fluctuate and the value cannot be guaranteed

I/We understand that Mpile Unit Trust Fund will only be able to process investments upon receipt of the following:

1. Proof of deposit slip as illustrated in sample deposit slip.
2. Clearing of funds deposited.
3. All relevant documents listed in the KYC documents checklist.

I/ (we) hereby agree to provide all KYC documentation and understand that Mpile Unit Trust Fund is prohibited from processing any transaction on my behalf until all such information has been provided. Any money received by Mpile Unit Trust Fund that is not accompanied by the necessary documents will be held until such time the documentation is received.

All the information contained herein is true and correct; I also confirm that all responses given in the questionnaire also a true and correct reflection. In the event that a representative duly nominated by myself/ourselves signs this application form, the signatory (ies) below has/have the necessary authority to do so.

**DISCLAIMER**

The Mpile Unit Trust Fund will not be liable for any loss incurred due to incorrect information or any misrepresentations by the investor or his /her intermediary.

\_\_\_\_\_  
Signature

\_\_\_\_\_  
Name

\_\_\_\_\_  
Date

**Additional signature for Joint Applicants:**

Signature (s)  
\_\_\_\_\_

\_\_\_\_\_  
Name

\_\_\_\_\_  
Date

**Additional signature for Joint Applicants:**

Signature (s)  
\_\_\_\_\_

\_\_\_\_\_  
Name

\_\_\_\_\_  
Date



Prior to transacting with Mpile Unit Trust Fund, in order to comply with its anti-money laundering policy and business processing requirements, the investor is required to supply to Mpile Unit Trust Fund with the documentation mentioned in section 12.

Please note: where originals cannot be provided, the copies must be certified as true copies of the original by authorized certifiers-such as a notary or commissioner for oaths.

**Signing Mandate for Joint Applicants (Please tick applicable option):**

Either One of the Signatories to sign upon Redemption / Transacting

Both Signatories to sign upon Redemption / Transacting

**11. ADDITIONAL CONTRIBUTIONS**

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The following are the minimum contributions that will be processed on lump sum additional contributions

Type of Fund	Bass of Currency of Fund	Amount
Mpile Equity Fund	ZMW	200
Mpile Money Market Fund	ZMW	200
Mpile Balance Fund	ZMW	200
Mpile Offshore Equity Fund	USD	50
Mpile High Yield Debit Fund	ZMW	200
Mpile Property Fund	ZMW	200

Levying of Withholding Taxes (WHT):

WHT will be deducted on distribution according to Income tax legislation. WHT will be applied according to the Status of the investor being either Resident, Non Resident or Exempt

Investors are advised to consult their tax advisors should they require information on the WHT legislation applicable to the Zambian Revenue Authority (ZRA)

## **REDEMPTION AND DISTRIBUTION PAYMENTS**

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The following rules and fees apply to Redemption and distribution of payments to local and offshore domiciled bank accounts

Redemption and distribution payments to offshore domiciled bank accounts will incur an additional charges. This charge is levied as a recovery of the SWIFT bank fees incurred on payments to offshore to domiciled bank accounts. This charge will be subject to change without notice, and will be levied on each redemption and / or distribution payment

### **13. KYC Documents Required**

Passport Size Photo / Image

Proof of address, not less than 3 months old (copy of utility Bill /Tenancy agreement /sworn affidavit or Letter from the Employer or Bankers specifying address)  
Foreign Nationals to provide the following: Valid work permit / residence permit / study permit.

Proof of bank details: Copy of Bank statements / Cancelled Cheque accompanied by letter from bank confirming account (Clearly indicating bank account details)

Certified copy of National Registration Card/Passport

Certified copy of birth certificate / record for a Minor

**FOR OFFICIAL USE ONLY**

Customer Name		Customer KYC documents Verified ✓
Verified by:	Approved by:	
Signature:	Signature:	
Date:	Date:	

**Annexure A**

**SPECIMEN SIGNATURE**

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Signatory A

Specimen Signature

Print Name \_\_\_\_\_

Signatory A

Specimen signature

Print Name \_\_\_\_\_

**(For Joint Applicants only)**

Signatory B

Specimen Signature

Signatory B

Specimen signature

Print Name \_\_\_\_\_

Print Name \_\_\_\_\_

**HOW DID YOU KNOW ABOUT THE MPILE UNIT TRUST FUND?**

Social Media

Referral

Radio or TV

Others \_\_\_\_\_

