



MPILE UNIT TRUST FUND

Application form – Retail

INSTRUCTIONS:

- All sections must be completed in full using BLOCK LETTERS
- The daily cut-off time for receipt of instructions is 10h00 for money market instructions and 14h00 for non-money market instructions
- Indicate all options selected by means of cross (X)
- Initial any amendments made to the application form
- Ensure that all information provided is accurate
- Completed forms are to be faxed to us on +260211253112 or emailed to mpile@alife.co.zm
- Original forms to be delivered to African Life Financial Services Ltd, 1st Floor Mpile Office Park, 74, Independence Avenue, Lusaka.
- Should you have any queries regarding this application, please contact African Life Financial Services on +260211254517/+260977740265
- No instruction will be processed unless all requirements are met

1 INVESTOR DETAILS

Title _____

Names (As on ID) _____

Date of Birth _____

Gender (Male or Female) _____

Nationality _____

NRC/Passport Number (if foreign national) _____

NRC/Passport Number expiry Date _____

Postal Address _____

Physical Address (Residential) _____

Employment / Business Details _____

Source of funds _____

Postal Address _____

Code _____

Resident

Non Resident

TPIN (if applicable) _____

Contact Work _____

Cell _____

Fax _____

E-mail _____

Next of Kin Name _____

Cell No. _____

2. ADDITIONAL INVESTOR DETAILS (FOR JOINT APPLICANTS) _____

Title _____

Names (As on ID) _____

Date of Birth _____

Gender (Male or Female) _____

Nationality _____

NRC/Passport Number (if foreign national) _____

NRC/Passport Number expiry Date _____

Postal Address _____

Physical Address (Residential) _____

Employment / Business Details _____

Postal Address _____

Code _____

Resident

Non Resident

TPIN (if applicable) _____

Contact Work _____

Cell _____

Fax _____

E-mail _____

3. DETAILS OF GUARDIAN (if Investor is a minor)

Names _____

Date of Birth _____

Nationality _____

Physical Address/Residential _____

Postal Address _____

Code _____

Contact Work _____

Cell _____

Fax _____

E-mail _____

4. BANKING DETAILS

Bank Account

LOCAL BANK ACCOUNT

Payment Currency _____

Swift Code _____

Account number _____

Bank _____

Branch Name _____

Branch Code _____

Account Holder Name _____

Account Type

SAVINGS	
CURRENT	

5. COMMUNICATION

Method of Communication

E-MAIL	<input type="checkbox"/>
POST	<input type="checkbox"/>

6. INVESTMENT DETAILS

I/We hereby apply to the Mpile Unit Trust Fund in Accordance with the provisions of the relevant Deed of the fund at the respective fund price(s) ruling on the date of receipt of the monies by Mpile Unit Trust Fund, subject to receipt of a duly completed Application form, proof of deposit and provision all the relevant supporting documentation.

Mpile Unit Trust Fund	Lump Sum Investment (Enter Amount*)	Debit Order (Enter Amount*)
Mpile Money Market		
Mpile Local Equity Fund		
Mpile Offshore Equity Fund		
Mpile High Yield Debt Fund		
Mpile Balanced Fund		
Mpile Property Fund		

*Other Mpile funds Lump Sum K1, 000

*Mpile Offshore funds Lump Sum \$150

7. FINANCIAL ADVISOR/INTERMEDIARY DETAILS

I/We confirm that the financial advisor whose details are shown below is my/our appointed financial advisor/intermediary and I/We agree to payment of fees as stated below.

Surname _____

Name(s) _____

Entity Name _____

Registration Number _____

Broker Code _____

Financial Advisor Broker

Initial Fees _____

Fund Name _____

Fund Name	Initial Financial Advisor/Intermediary Fee
Mpile Balanced Fund	
Mpile Money Market Fund	
Mpile Local Equity Fund	
Mpile High Yield Debt Fund	
Mpile Offshore Equity Fund	
Mpile Property Fund	

**The maximum initial financial advisor/intermediary fee applies where lower fees are not negotiated and completed here*

Financial Advisor/intermediary Confirmation signature

Tick Box if not Applicable

8. FUND INFORMATION

Fees	Initial Fees (excl Vat)	Annual Management Fees (excl Vat)
Mpile Local Equity Fund	N/A	1.50%
Mpile Money Market Fund	N/A	1.50%
Mpile Balanced Fund	N/A	1.50%
Mpile Offshore Equity Fund	N/A	1.50%
Mpile High Yield Debt Fund	N/A	1.50%
Mpile Property Fund	N/A	1.50%

Banking details of the funds

For new and additional investments, please deposit/transfer funds into the following Stanbic Zambia limited Bank account – SWIFT code SBICZMLX			
CIS Fund Name	Bank Account Name	Bank Account Number	Branch Name/Code
Mpile Money Market Fund	Stanbic Bank Zambia	9130001865912 (ZMW)	Lusaka Branch/040002
Mpile Local Equity Fund	Stanbic Bank Zambia	9130001828979 (ZMW)	Lusaka Branch/040002
Mpile Balanced Fund	Stanbic Bank Zambia	9130001695855 (ZMW)	Lusaka Branch/040002
Mpile Offshore Equity fund	Stanbic Bank Zambia	9130001261294 (USD)	Lusaka Branch/040002
Mpile High Yield Debt Fund	Stanbic Bank Zambia	9130001291916 (ZMW)	Lusaka Branch/040002
Mpile Property Fund	Stanbic Bank Zambia	9130002779688 (ZMW)	Lusaka Branch/040002

9. DECLARATIONS BY INVESTOR

I/We hereby apply for particular interest (units) in the selected portfolios and understand that this investment will be subjected to the governing schemes administered by Mpile.

In the event that the financial advisor/intermediary details selection has not been completed, I/We understand and confirm that any decision taken with regards to the investment is based on my own judgment. I/We declare that I/we

am/are not relying on any communication from Mpile Unit Trust Fund whether written, oral or implied as investment advice or as a recommendation to enter into the investments. I/We understand that information and explanations relating to the terms of the investment shall not be considered investment advice or a recommendation to enter into the investment.

Mpile Unit Trust Fund will not be liable for any loss incurred due to incorrect information or any misrepresentations by the investor or his /her intermediary.

I/We understand that Mpile Unit Trust Fund will only be able to process investments upon receipt of the following:

1. Proof of deposit slip as illustrated in sample deposit slip.
2. Clearing of funds deposited.
3. All relevant documents listed in the KYC documents checklist.

I/We hereby agree to provide all KYC documentation and understand that Mpile Unit Trust Fund is prohibited from processing any transaction on my behalf until all such information has been provided. Any money received by Mpile Unit Trust Fund that is not accompanied by the necessary documents will be held until such time the documentation is received.

All the information contained herein is true and correct, in the event that this application form is signed by a representative duly nominated by myself/ourselves, the signatory (ies) below has/have the necessary authority to do so.

Signature

Name

Date

Additional signature for Joint Applicants:

Signature (s)

Name

Date

Prior to transacting with Mpile Unit Trust Fund, in order to comply with its anti-money laundering policy and business processing requirements, the investor is required to supply to Mpile Unit Trust Fund with the documentation mentioned in section 12.

Please note: where originals cannot be provided, the copies must be certified as true copies of the original by authorized certifiers-such as a notary or commissioner for oaths.

10. ADDITIONAL CONTRIBUTIONS

The following are the minimum contributions that will be processed on lump sum additional contributions

Type of Fund	Base of Currency of Fund	Amount
Mpile Equity Fund	ZMW	200
Mpile Money Market Fund	ZMW	200
Mpile Balance Fund	ZMW	200
Mpile Offshore Equity Fund	USD	50
Mpile High Yield Debit Fund	ZMW	200
Mpile Property Fund	ZMW	200

11. REDEMPTIONS

Redemption of the units below the minimum withdrawal amount will incur a flat fee (this fee is subject to change without notice)

Base Currency of Fund	Minimum withdrawal Amount	Flat Fee
ZMW	K1,000	3%
USD	\$100	3%

Levying of Withholding Taxes (WHT):

WHT will be deducted on distribution according to Income tax legislation. WHT will be applied according to the Status of the investor being either Resident, Non Resident or Exempt

Investors are advised to consult their tax advisors should they require information on the WHT legislation applicable to the Zambian Revenue Authority (ZRA)

REDEMPTION AND DISTRIBUTION PAYMENTS

The following rules and fees apply to Redemption and distribution of payments to local and offshore domiciled bank accounts

Redemption and distribution payments to offshore domiciled bank accounts will incur an additional charges. This charge is levied as a recovery of the SWIFT bank fees incurred on payments to offshore to domiciled bank accounts. This charge will be subject to change without notice, and will be levied on each redemption and / or distribution payment

12. KYC Documents Required

Proof of address, less than 3 months old (copy of utility Bill/sworn affidavit)

Proof of bank details: Copy of Bank statements OR cancelled Cheque OR letter from bank confirming account (clearly indicating bank account details)

Copy of National Registration Card/Passport

Copy of birth certificate for a Minor

FOR OFFICIAL USE ONLY	
Customer Name	Customer KYC documents Verified ✓
<p>Verified by:</p> <p>Signature:</p> <p>Date:</p>	<p>Checked by:</p> <p>Signature:</p> <p>Date:</p>

Annexure A

SPECIMEN SIGNATURE

Signatory A

Specimen Signature

Print Name _____

Signatory A

Specimen signature

Print Name _____

(For Joint Applicants only)

Signatory B

Specimen Signature

Print Name _____

Signatory B

Specimen signature

Print Name _____